M. GALE LEMMON #4363 Assistant Attorney General MARK L. SHURTLEFF #4666 Attorney General Attorneys for Utah Insurance Department State Office Building, Room 3110 Salt Lake City, UT 84114 Telephone (801) 538-3872

BEFORE THE INSURANCE COMMISSIONER OF THE STATE OF UTAH

COMPLAINANT: NOTICE OF INFORMAL **ADJUDICATIVE PROCEEDING**

UTAH INSURANCE DEPARTMENT AND ORDER

Docket No. _2009-047 LC RESPONDENT:

MATTHEW TYSON ENGLESTEAD 5242 West 1525 South Cedar City, UT 84720

License No. 308069

Enf. Case No. _2364__

The Utah Insurance Department has commenced this informal adjudicative proceeding pursuant to Utah Code Annotated §§ 31A-2-201 and 63G-4-201 and Utah Administrative Code Rule R590-160. Based upon information contained in agency files or known to the Commissioner, the Commissioner makes the following:

FINDINGS OF FACT

- 1. Respondent is an insurance agent authorized to do the business of insurance in the State of Utah holding License number 308069.
- 2. Respondent submitted an application for a resident insurance agent's license on December 22, 2008. In his application, Respondent answered "No" to the question "Have you ever been convicted of ... a crime...?"

3. At the time of the submission of his application, Respondent knew that he had been convicted of possession of drug paraphernalia and unlawful distribution of a controlled substance, both class B misdemeanors in February 1993; possession of drug paraphernalia and unlawful possession of alcohol by a minor, both class B misdemeanors, on July 1993; and had entered a plea-in-abeyance to charge of simple assault, a class B misdemeanor in December 2006, which charge has not yet been dismissed.

Having entered his Findings of Fact, the Commissioner now enters his:

CONCLUSION OF LAW

- 1. In submitting false information on his license application by stating he had not been convicted of a crime when he had been convicted of 4 class B misdemeanors and one charge still pending, Respondent violated Utah Code Annotated § 31A-23a-105(2) and 31A-2-202(6).
- 2. In providing false information on his license application, Respondent brings into question his qualification to hold an insurance agent's license under Utah Code Annotated § 31A-23a-107(2) as being competent and trustworthy.
- 3. In violating insurance statutes, Respondent is subject to imposition of forfeitures of up to \$5,000.00 per violation under Utah Code Annotated § 31A-2-308, and is subject to the revocation or suspension of his license under Utah Code Annotated § 31A-23a-111(5)(b), and having his license placed on probation under Utah Code Annotated § 31A-23a-112.

Based upon the foregoing Findings of Fact and Conclusions of Law, the Commissioner now enters the following:

ORDER

IT IS HEREBY ORDERED:

- 1. Respondent is assessed an administrative forfeiture in the amount of \$250.00, to be paid within 10 days of the date this Order becomes final.
- 2. Respondent's license is placed on probation for a period of 12 months from the date this Order becomes final. The terms of probation are:
 - a. Respondent shall pay the administrative forfeiture assessed herein in a timely manner:
 - b. Respondent shall have no further violations of the Utah Insurance Code or Rules or of any order of the commissioner; and
 - c. during the period of probation Respondent shall not commit any criminal acts, other than minor traffic violations.
- 3. This Order shall become final fifteen (15) days after the date of mailing unless a written request for a hearing is received from the Respondent in the offices of the department prior to that date. A written request for a hearing shall be signed by the person making the request and shall state the basis for the relief requested.

NOTIFICATION

If you request a hearing regarding this matter, the department will be represented by M. Gale Lemmon, Assistant Attorney General, State Office Building, Room 3110, Salt Lake City, Utah 84114, Telephone Number (801) 538-3800. Failure to request a hearing will be considered a failure to exhaust administrative remedies and will preclude any further administrative or judicial review or appeal of this matter.

You are further notified that a failure to obey an Order of the commissioner may subject you to further penalties, including forfeitures of up to \$2,500.00 per violation and the suspension or revocation of your license and the filing of an action in District Court, which may impose forfeitures of up to \$10,000.00 per day for continued violation.

You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.

Questions regarding this Adjudicative Proceeding should be directed to Richard Grieser, at the Utah Insurance Department, telephone number (801) 537-9057.

DATED THIS 24 day of March, 2009.

D. KENT MICHIE

INSURANCE COMMISSIONER

MARK KLEINFIELD, J.D.

ADMINISTRATIVE LAW JUDGE

Utah Insurance Department

State Office Building, Room 3110

Salt Lake City, Utah 84114

Telephone (801) 538-3800

CERTIFICATE OF MAILING

I do hereby certify that on this date I mailed, by regular mail, postage prepaid a true and correct copy of the attached:

NOTICE OF INFORMAL ADJUDICATIVE PROCEEDING AND ORDER

To the following:

Matthew Tyson Englestead 5242 West 1525 South Cedar City, UT 84720

DATED this 24 th day of March, 2009.

Linda Hardy

Court Clerk

Utah Department of Insurance State Office Building, Room 3110 Salt Lake City, UT 84114-6901

UTAH Invoice - Original

Printed Date: March 24, 2009 Invoice Date: March 24, 2009

Balance Due: \$250.00 Due Date: April 20, 2009 Invoice ID: 408424 Payor ID: 1382528

ENGLESTEAD MATTHEW TYSON 5242 WEST 1525 SOUTH CEDAR CITY UT 84720

Item DescriptionAmount3/24/2009Monetary Penalty Individual\$250.00

E-case 2364 Docket 2009-047 LC

Original Amount Due \$250.00

UTAH Invoice - Original

Invoice Date: March 24, 2009

Balance Due: \$250.00 Due Date: April 20, 2009

Invoice ID: 408424 Payor ID: 1382528

Payor Name: ENGLESTEAD,

MATTHEW TYSON

Make checks payable to: Utah Insurance Department

Send payment to:

Utah Insurance Department 3110 State Office Building Salt Lake City, UT 84114-6901